

\*All gists in the following extract are double-underlined



## AUTHORISATION OF BULK DATASET

### 1. Definition

This Authorisation Form records the justification for SIS' handling and usage of specific bulk datasets. In legal terms, bulk data acquisitions are defined as:

*Datasets acquired under Section 2 (2)(a) of the Security Service Act 1989 or Sections 2(2)(a) or 4(2)(a) of the Intelligence Services Act 1994 which contain data about a wide range of individuals including (adverse) data about individuals who are not of direct intelligence interest.*

Authorisations **are** required where a bulk dataset:

- is likely to include large amounts of superfluous or non-targeted data;
- includes data about individuals of no intelligence interest that may be of a personal or sensitive nature;
- has been generated by any external organisation or partner agency and exhibits the above characteristics;
- will be exploited through the use of intrusive data mining techniques, however it is acquired.

### 2. Acquisition Case to be completed by the Acquiring Officer

Dataset Codename and File Reference	
Data Owner <b><u>NB: A senior SIS official will be the data owner unless specified. This means a senior SIS official will have devolved responsibility for 'Action On'.</u></b>	
Brief Description of Dataset Content	
Source [redacted]	
Date of Acquisition (DD/MM/YYYY)	
Proposed frequency of update	<select>
Protective Markings	<select>                      <select>
National Caveat	<select>                      Other:
Action On (Designation/Organisation) <b><u>NB: Please specify only if different from the data owner or if data is not owned by SIS [redacted]. The Officer stated will be expected to respond to enquiries from HO teams [redacted] and Duty Officer when required</u></b>	
Can this Data be Shared?	SIA:      Yes <input type="checkbox"/> No <input type="checkbox"/> [redacted]
Media Serial Number	

Necessity

Please explain why it is necessary for SIS to hold and, where relevant, exploit this data covering the following points:

- Which NSC strategic intelligence priorities does this acquisition meet?
- What results or benefit do you expect it to provide?

Risk Assessment

Please comment on the following:

- What are the risks of holding this dataset that might have the potential to cause political embarrassment?
- What is the reputational damage to HMG or to SIS' operational equities should SIS possession of this dataset become known?

Overall classification of Corporate Risk	<select>
Designation/Staff Number	
Date	

**3. Data Intrusiveness** *(to be completed by the Transformation Officer)*

Contains Data on UK Nationals?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Unable to identify <input type="checkbox"/>
If 'Yes' or 'Unable to Identify', please provide further details.			
Contains Data on Minors (under 16s)?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Unable to identify <input type="checkbox"/>
If 'Yes' or 'Unable to Identify', please provide further details.			
Is this to be exploited?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	

(To be agreed with <u>an officer from the relevant team</u> )								
Contains Protected Characteristics				Contains Confidential Information				
	Yes	No	Exploit		Yes	No	Exploit	
Religion	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Financial	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Political	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Criminal Activity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Racial/Ethnic Origin	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Legally Privileged Info	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Disability/Medical Condition	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Journalist Info	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Sexual Orientation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Medical Info	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
				Spiritual Counselling	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
If 'Yes' please provide further details								
Designation/Staff Number								
Date								

**4. Exploitation Case (to be completed by the Exploitation Officer)**

<u>Intrusion of Exploitation</u>	
What is the assessment of the level of actual and collateral intrusion?	
<ul style="list-style-type: none"> <li>Actual: the intrusion of (or interference with) privacy caused by accessing personal data as a result of analysis;</li> <li>Collateral: the intrusion or interference with privacy caused by holding the dataset in our analytical systems, prior to any action taken by an analyst.</li> </ul>	
Classification of Actual Intrusion	<select>
Classification of Collateral Intrusion	<select>
Proposed Exploitation System [redacted]	
Proposed <u>database</u> description	

Justification

- What intelligence benefits are to be derived from the exploitation of the data?
- How will SIS make use of this data?
- Explain why the continued retention and/or exploitation of the data is proportionate and necessary.

Designation/Staff Number	
Date	

5. Legal Advice (to be completed by Legal Advisers)

I am satisfied that the legal and ethical implications have been adequately examined.  
I authorise the retention and/or exploitation of this dataset.

Designation/Staff Number	
Date	

6. Data Authorisation (to be completed by a senior SIS official)

I am satisfied that it is necessary and proportionate for SIS to retain and/or exploit this data set as described above, and that satisfactory arrangements exist for ensuring proper management and protection of the data.  
I authorise the retention and/or exploitation of this dataset.

Designation/Staff Number	
Date	